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PTO/SB/21 (04-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/688,650
Filing Date	October 17, 2003
First Named Inventor	Nollert, Peter
Art Unit	1743
Examiner Name	
Attorney Docket Number	018062-003130US

Total Number of Pages in This Submission

5

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form
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<input type="checkbox"/> Amendment/Reply
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<input type="checkbox"/> Affidavits/declaration(s)
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<input checked="" type="checkbox"/> Information Disclosure Statement
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Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Townsend and Townsend and Crew LLP	Reg. No. 39,411
Signature	<i>William F. Vobach</i>	
Date	October 18, 2004	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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PATENT
Attorney Docket No.: 018062-003130US
Client Reference No.: 2000-026

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On OCT 18, 2004



TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Peter Nollert, et al.

Application No.: 10/688,650

Filed: October 17, 2003

For: METHOD AND APPARATUS FOR
PREPARING LIPIDIC MESOPHASE
MATERIAL

Examiner:

Art Unit: 1743

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
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Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

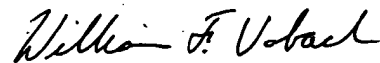
This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

CERTIFICATION

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



William F. Vobach
Reg. No. 39,411

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WFV:klb



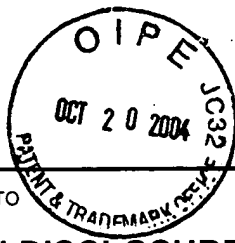
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/688,650	
			Filing Date	October 17, 2003	
			First Named Inventor	Nollert, Peter	
			Art Unit	1743	
Sheet	1	of	2	Examiner Name	
				Attorney Docket Number	018062-003130US

U.S. PATENT DOCUMENTS+					
		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)			
	AA	US-3,974,832	08-17-1976	R.E. Kruck	
	AB	US-4,743,229	05-10-1988	G. Chu	
	AC	US-5,908,054	06-01-1999	J.H. Safabash et al.	
	AD	US-5.957,166	09-28-1999	J.H. Safabach	
	AE	US-			
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FOREIGN PATENT DOCUMENTS								
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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	AU	GB	1031427		08-08-1963	American Home Products		<input type="checkbox"/>
	AV	DE	3102142	A	08-12-1982	Herlitze, Gerhard, Ing.		<input type="checkbox"/>
	AW	EP	01481116	A	07-10-1985	Debiopharm S.A.		<input type="checkbox"/>
	AX	WO	96/391125	AI	12-12-1996	Univ. of Nebraska		<input type="checkbox"/>
	AY	WO	99/04761	AI	02-04-1999	Andersson Mattias; Pharmacia & Upjohn AB		<input type="checkbox"/>
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	BA							<input type="checkbox"/>
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Examiner Signature		Date Considered	
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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **2** of **2****Complete if Known**

Application Number	10/688,650
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Art Unit	1743
Examiner Name	
Attorney Docket Number	018062-003130US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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